## IAP20 Rec'G PETATO 12 JAN 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Weiershausen et al.

Serial No.:

Not Yet Assigned

Filed:

Herewith

For:

PROCESSES AND DEVICES FOR THE DETERMINATION OF A

PMD-INDUCED OUTAGE PROBABILITY OF AN OPTICAL

TRANSMISSION SYSTEM

Art Unit:

Not Yet Assignemd

Examiner:

Not Yet Assigned

Confirmation No.:

Not Yet Assigned

Customer No.:

27623

Attorney Docket No.:

2133.096USU

Date:

January 12, 2006

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with applicants' duty of disclosure under 37 C.F.R. §1.56, we are enclosing form PTO-1449 listing information that may be material to the patentability of this application.

37 C.F.R. 1.98 does not require a copy of U.S. patents or published U.S. patent applications to be included with an information disclosure statement. Accordingly, Applicant is not including a copy of any U.S. patent or published U.S. patent application.

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We are also enclosing copies of the non-US references listed on the attached PTO-1449. Copies of available English abstracts of the aforementioned patents, patent applications and articles are enclosed herewith.

It should be understood that attention has been called to the citations that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed citations and to make the usual careful independent search for other prior art that may be pertinent.

Since this Information Disclosure Statement is being filed within three months of the filing date of the application, no petition or fee is required.

Applicants respectfully request favorable consideration and that this application be passed to allowance.

Respectfully submitted

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**CUSTOMER NO.: 27623** 

Sheet \_1\_ of

**FORM PTO-1449** Docket Number (Optional) Application Number 2133.096USU INFORMATION DISCLOSURE CITATION Applicant IN AN APPLICATION Weiershausen et al. Filing Date Group Art Unit (Use several sheets if necessary) Herewith Not Yet Assigned **U. S. PATENT DOCUMENTS EXAMINER** FILING DATE IF INITIAL DOCUMENT DATE NAME **CLASS** SUBCLASS **APPROPRIATE** NUMBER /D.T./ 09/19/02 | Mao 2002/0131129 359 161 /D.T./ 6,583,903 06/24/03 Way et al. 359 156 FOREIGN PATENT DOCUMENTS Translation DOCUMENT DATE COUNTRY CLASS **SUBCLASS** YES **NUMBER** /D.T./ EP 1 376 907 01/02/04 Europe H04B 10/18 Х /D.T./ EP 0 578 380 01/12/94 Europe H04B 10/18 Х OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.) Djupsjöbacka, "Calculation of Signal Outage Due to Polarization Mode Dispersion," IEEE Photonics Technology /D.T./Letters, Vo. 13, no. 7, July 2001, pp. 660-662. Sunnerud et al., "Outage Probabilities in PMD Compensated Transmission Systems," Proc. 27th Eur. Conf. On Opt. /D.T./Comm. (ECOC '01 - Amsterdam), pp. 204-205, 2001 Boroditsky et al., "In-Service Measurements of Polarization-Mode Dispersion and Correlation to Bit-Error Rate, /D.T./IEEE Photonic Technology Letters, Vol. 15, No. 4, April 2003, pp. 572-574. **EXAMINER** DATE CONSIDERED /Dzung Tran/ 12/18/2009 EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.